



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

FILE COPY

CONFIRMATION NO. 5626



Bib Data Sheet

<b>SERIAL NUMBER</b> 09/682,107	<b>FILING DATE</b> 07/20/2001 <b>RULE</b>	<b>CLASS</b> 707	<b>GROUP ART UNIT</b> 2171	<b>ATTORNEY DOCKET NO.</b> VIGN1270
------------------------------------	---	---------------------	-------------------------------	--

**APPLICANTS**  
Jefferson M. Kommers, Somerville, MA;  
David Freed, Somerville, MA;  
Damien Paul Kennedy, Central City, NEW ZEALAND;

**\*\* CONTINUING DATA \*\*\*\*\*** *NO*

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*** *NO*

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED**  
**\*\* 07/31/2001**

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	<b>STATE OR COUNTRY</b> MA	<b>SHEETS DRAWING</b> 4	<b>TOTAL CLAIMS</b> 24	<b>INDEPENDENT CLAIMS</b> 4
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged <i>[Signature]</i> Examiner's Signature	<i>SA</i> Initials			

**ADDRESS**  
25094

**TITLE**  
Information retrieval from a collection of information objects tagged with hierarchical keywords

<b>FILING FEE RECEIVED</b> 862	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit

Receipt

RECEIVED

AUG 30 2001

Technology Center 2100

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## REQUEST FOR CORRECTED FILING RECEIPT

Atty. Docket No.  
VIGN1270

In re Application of: **Jefferson M. Kommers, et al.**  
 Application Number: **09/682,107** Filed: **07/20/01**  
 For: **Information Retrieval From a Collection of Information Objects Tagged with Hierarchical Keywords**  
 Group Art Unit: **2171** Confirmation No. **5626**

Hon. Asst. Commissioner of Patents  
 Washington, D.C. 20231

Dear Sir:

Certification Under 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner of Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231, on August 16, 2001.

*Janice Pampell*

Janice Pampell

- Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
- There is an error with respect to the following data, which is:

<input checked="" type="checkbox"/>	incorrectly entered	and/or
<input type="checkbox"/>	omitted	

		Error in		Correct data
A.	<input type="checkbox"/>	Applicant's name and Address	A.	
B.	<input type="checkbox"/>	Inventor(s) name and Address	B.	
C.	<input checked="" type="checkbox"/>	Title	C.	The second to last word in the title is spelled incorrectly. It should read " <b>Hierarchical</b> "
D.	<input type="checkbox"/>	Filing Date	D.	
E.	<input type="checkbox"/>	Application Number	E.	
F.	<input type="checkbox"/>	Other	F.	

- ☐ (complete the following applicable item)

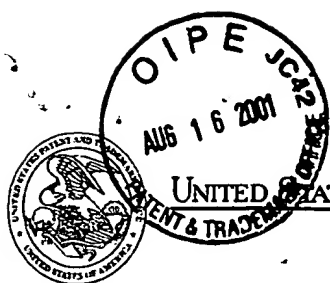
A.	<input checked="" type="checkbox"/>	The correction(s) is/are not due to any error by applicant(s) and no fee is due. <b>OR</b>
B.	<input type="checkbox"/>	At least one of the above corrections is due to applicant's error and the fee therefor, under 37 C.F.R. § 1.19(h), of \$25.00 is paid as follows
	<input type="checkbox"/>	Enclosed is a check for \$25.00
	<input type="checkbox"/>	Charge Deposit Account No. 50-0456

8/14/2001

Date

*George R. Meyer*

George R. Meyer  
 Reg. No.: 35,284



UNITED STATES PATENT AND TRADEMARK OFFICE

DOCKETED

AUG 06 2001

COMMISSIONER FOR PATENTS

UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 20231

www.uspto.gov

GRAYCARY/S LANG

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/682,107	07/20/2001	2171	862	VIGN1270	4	24	4

CONFIRMATION NO. 5626

25094  
 GRAY, CARY, WARE & FREIDENRICH LLP  
 1221 SOUTH MOPAC EXPRESSWAY  
 SUITE 400  
 AUSTIN, TX 78746-6875

## FILING RECEIPT



\*OC000000006368831\*

Date Mailed: 08/01/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Jefferson M. Kommers, Somerville, MA;  
 David Freed, Somerville, MA;  
 Damien Paul Kennedy, Central City, NEW ZEALAND;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 07/31/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

## Title

*hierarchical*Information retrieval from a collection of information objects tagged with ~~hierarchical~~ keywords

Preliminary Class

707

Data entry by : AMARE, TSION

Team : OIPE

Date: 08/01/2001

**RECEIVED**

AUG 3 0 2001

**Technology Center 2100**

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**RECEIVED**

AUG 30 2001

Technology Center 2100

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231